**PATENT** 

Docket No.: 19226/2181 (R-5766)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	):	Mollendorf et al.	) Examiner:
Serial No.	:	10/645,726	) Unknown )
Cnfrm. No.	:	8224	) Art Unit: ) 1775
Filed	:	August 21, 2003	)
For	:	PROCESS FOR ENHANCING MATERIAL PROPERTIES AND MATERIALS SO ENHANCED	) ) ) )

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97-1.98

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Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the enclosed references listed on the attached PTO-1449 form.

Pursuant to 37 CFR § 1.97(b)(3), no fee is required. Should it be determined that a fee is required, the Commissioner is authorized to charge any additional fee to Deposit Account No. 14-1138.

Date: April 28, 2004

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Respectfully submitted,

Andrew K. Gonsalves

april 28, 2004

Jo Ann Whelen

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Substitute	e for form 1449A/PTO			C	omplete if Known	
INFORMATION DISCLOSURE				Application Number	10/645,726	_
				Filing Date	August 21, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Joseph C. Mollendorf	
(use as many sheets as necessary)				Art Unit	1775	
				Examiner Name	Unknown	
Sheet	1	of	5	Attorney Docket Number	19226/2181 (R-5766)	

			U.S. PATENT DOCUMI	ENTS	
Examiner Initials*	Cite No.1	U.S. Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
	1	US-3,660,849	05/09/1972	Jonnes et al.	
	2	US-3,856,721	12/24/74	Fritschel	
	3	US-4,077,922	03/07/1978	Farrissey, Jr. et al.	-
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	5	US-4,276,341	06/30/1981	Tanaka	
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	8	US-5,888,642	03/30/99	Meteer et al.	
	9	US-6,284,809 B1	09/04/01	Plummer et al.	
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	12	US-6,389,865 B1	05/21/02	Easterbrook	

		F	OREIGN PATENT DO	CUMENTS			
Examiner Initials*	Cite No.1	Foreign Patent Document  Kind Code <sup>3</sup> Country Code <sup>3</sup> Number <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS							
		OTHER PRIOR	ARI - NON PATENT LI	TERATURE DOCUMENTS			
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>2</sup>	
	13	Dow Corning, Product Information Sheet for Silicone Sealants: Dow Corning® 756 Silicone Building Sealant-HP, 3 pages					
	14	Herrmann et al., "Aerogels: The Leading Edge in Thermal Insulation," H & V Engineer 68(725):8-11 (1995)					
	15	Lu et al., "Thermal Transport in Organic and Opacified Silica Monolithic Aerogels,"  Journal of Non-Crystalline Solids 145:207-210 (1992)					
	16	Hümmer et al., "Heat Transfer in Opacified Aerogel Powders," Journal of Non- Crystalline Solids 145:211-216 (1992)					
	17	Zeng et al., "Pore Size Distribution and Apparent Gas Thermal Conductivity of Silica Aerogel," <i>Transactions of the ASME</i> 116:756-759 (1994)					
	18	Lu et al., "Thermal Conductivity of Monolithic Organic Aerogels," Science 255:971-972 (1992)					
	19	Zeng et al., "Mean Free Pa Medium," Transactions of			Gas in a Porous		

Examiner	Date	
Signature	Considered	

R721627.1

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitut	e for form 1449B/PTO			Complete if Known		
INFO	RMATION I	DISC	LOSHRE	Application Number	10/645,726	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	August 21, 2003	
SIA.	(use as many sheets as necessary)			First Named Inventor	Joseph C. Mollendorf	
				Group Art Unit	1775	
				Examiner Name	Unknown	
Sheet	2	of	5	Attorney Docket Number	19226/2181 (R-5766)	

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	20	Hashin, "Assessment of the Self Consistent Scheme Approximation: Conductivity of Particulate Composites," J. Composite Materials 2(3):284-300 (1968)	
	21	Benveniste, "Effective Thermal Conductivity of Composites with a Thermal Contact Resistance Between the Constituents: Nondilute Case," J. Appl. Phys. 61(8):2840-2843 (1987)	
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	23	Chan et al., "Conductance of Packed Spheres in Vacuum," Transactions of the ASME- Journal of Heat Transfer 95:302-308 (1973)	
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	25	Baudot et al., "Thermal Conductivity of a RTV Silicone Elastomer Between 1.2 and 300 K," Cryogenics 38(2):227-230 (1998)	
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	28	Silicones, Inc., Product Information Sheet for P-10, 2 pp.	
	29	Cabot Corporation, Product Information Sheet for Nanogel™ Fine Particle Aerogel, 2 pp. (2002)	
	30	Cabot Corporation, Product Information Sheet for Nanogel™ Aerogel Beads, 2 pp. (2002)	
	31	Silicones, Inc., Material Safety Data Sheet for Product Name: P-10A, 2 pp. (1999)	
	32	Silicones, Inc., Material Safety Data Sheet for Product Name: P-10B, 2 pp. (1999)	
	33	Silicones, Inc., Material Safety Data Sheet for Product Name: GI-245 A, 2 pp. (1998)	
	34	Silicones, Inc., Material Safety Data Sheet for Product Name: GI-245 B, 2 pp. (1998)	
	35	Silicones, Inc., Product Information Sheet for GI-245 Special Effect Silicone, 2 pp.	

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Signature Considered	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO				Con	iplete if Known
INFO	RMATION I	)ISCI	LOSURE	Application Number	10/645,726
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	August 21, 2003
SIAI	(use as many sheets as necessary)			First Named Inventor	Joseph C. Mollendorf
				Group Art Unit	1775
				Examiner Name	Unknown
Sheet	3	of	5	Attorney Docket Number	19226/2181 (R-5766)

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
-	36	Silicones, Inc., Price Sheet for RTV-2 Silicone Rubber, 1 page (1997)	
	37	Silicones, Inc., Product Information Sheet for GI-300 Series Silicone Rubber, 2 pp.	
	38	Silicones, Inc., Product Information Sheet for GI-320 Silicone Rubber, 1 page	
	39	Silicones, Inc., Product Information Sheet for GI-650 Silicone Rubber, 2 pp.	
	40	Silicones, Inc., Product Information Sheet for GI-1120 Silicone Rubber, 2 pp.	
	41	Silicones, Inc., Product Information Sheet for GI-1110 Silicone Rubber, 2 pp.	
	42	Silicones, Inc., Product Information Sheet for GI-1040 Silicone Rubber, 2 pp.	
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	46	Silicones, Inc., Product Information Sheet for GI-Ultra-Fast Catalyst, 1 page	
	47	Silicones, Inc., Product Information Sheet for GI-184B/GI-Thixotropic Activator, 2 pp. (1996)	
	48	Silicones, Inc., Product Information Sheet for P Series RTV Silicone Rubbers for Moldmaking Applications, 2 pp.	
<del></del>	49	Silicones, Inc., Product Information Sheet for P-44 Silicone Rubber, 2 pp.	
	50	Silicones, Inc., Product Information Sheet for P Series RTV Silicone Rubbers for Electrical Applications, 2 pp.	
	51	Silicones, Inc., Product Information Sheet for Equipment Required for Two-Component RTV Silicone Rubber Mold-Making, 2 pp.	
	52	Silicones, Inc., Product Information Sheet for Helpful Information Relating to Various Silicones, Inc. Products, 1 page	
	53	Silicones, Inc., "How to Make a Silicone Mold," 2 pp.	
	54	Dow Corning Corporation, Product Information Sheet for Dow Corning® 832 Multi-Surface Adhesive Sealant, 2 pp. (1997)	

Examiner	Date
Signature	Considered

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)
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SIAI	(use as many sheets as necessary)			First Named Inventor	Joseph C. Mollendorf		
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				Examiner Name	Unknown		
Sheet	4	of	5	Attorney Docket Number	19226/2181 (R-5766)		

<b>.</b>	a:		
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	55	Akzo Nobel, Product Specification Sheet for Expancel® DE Dry Expanded Microspheres, Issue 01.11, 1 page	
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	58	General Electric Company, Product Information Sheet for SS4004P, SS4044P, SS4120, SS4155, and SS4179, Silicone Primers for Use with One and Two Component RTV Silicone Adhesive Sealants, pages 1, 2, and 4	
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	60.	General Electric Compnay, Datasheet for SF96® 50, SF96® Silicone Fluids, 8 pp.	
	61	Silicones, Inc., RTV-2 Silicone Rubber Product Selection Guide, 4 pp.	
	62	Perlite Institute Inc., World Trade Organization, "Basic Facts About Perlite," 3 pp., available at <a href="http://www.perlite.org/bfacts.htm">http://www.perlite.org/bfacts.htm</a>	
	63	Dow Corning Corporation, Material Safety Data Sheet for Dow Corning® 3145 RTV Adhesive/Sealant – Gray, pages 1, 3, 5, and 7 (revision date Feb. 15, 2002)	
	64	3M Performance Enhancement Sheet for 3M <sup>TM</sup> Microspheres Engineered for a Wide Choice of Unique Enhancements, 8 pp. (1998)	
	65	3M Microspheres Comparison Chart for 3M <sup>™</sup> Scotchlite <sup>™</sup> Glass Bubbles General Purpose Series, 3 pp.	
	66	Aspen Aerogels, Inc., Material Safety Data Sheet for ASP-USB Silica Aerogel Beads, 4 pp. (2001)	
	67	Dow Corning Corporation, Material Safety Data Sheet for Dow Corning® Q3-6611 Adhesive, Gray, pages 1, 3, 5, and 7 (revision date Jan. 22, 2002)	
	68	Silbrico Corporation, Information Sheet for Sil-Cell Microcellular Filler, 3 pp.	
-	69	3M, Product Information Sheet for 3M <sup>™</sup> Z-Light Spheres <sup>™</sup> Ceramic Microspheres Gray Grades, pages 1 and 3 (2000)	
	70	3M, Cast Polyester Applications Profile, 2 pp.	
	71	3M, Microspheres Thermal Conductivity Report, 3 pp.	
	72	3M, Cost Comparison Guide for 3M <sup>™</sup> Scotchlite <sup>™</sup> Glass Bubbles, 7 pp.	_

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Signature	Considered	

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INFO	RMATION I	NISCI	LOSURE	Application Number	10/645,726	
STATEMENT BY APPLICANT				Filing Date	August 21, 2003	
				First Named Inventor	Joseph C. Mollendorf	
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	73	Grealish et al., "State-of-the-Art on Deep Water Thermal Insulation Systems," Proceedings of OMAE'02, 21 <sup>st</sup> International Conference on Offshore Mechanics and Artic Engineering, Oslo, Norway, pp. 339-347 (June 23-28, 2002)	
	74	Wang et al., "Syntactic Foam Thermal Insulation for Ultradeep High Temperature Applications," Proceedings of OMAE'02, 21st International Conference on Offshore Mechanics and Artic Engineering, Oslo, Norway, pp. 155-166 (June 23-28, 2002)	
	75	Kyo, "Effective Thermal Conductivity of Composite Foam," <i>Heat Transfer-Japanese Research</i> 23(3):258-276 (1994)	
	76	Wawryk et al., "Heat Transfer in Microsphere Insulation," Journal of Thermal Analysis 34:249-257 (1988)	
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	79	Rowe, "Final Report, N00298-69-Q-K786, Development of a Flexible Swimsuit Material for 600 Ft. Salt Water Depths," including reports on Phases I (Nov. 1969), II (April 1970), and III (June 1971), Emerson & Cuming, Inc., Dielectric Materials Division, Canton, MA, 26 pp. (June 1971)	
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Examiner		Date	1
Signature		Considered	1

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